#### Investigating and Addressing Learning Difficulties in Thermodynamics

#### David E. Meltzer

Department of Physics University of Washington Seattle, Washington

Supported in part by National Science Foundation Grant Nos. DUE 9981140, PHY 0406724, and PHY 0604703

#### Collaborators

- Tom Greenbowe (Iowa State U. Chemistry)
- John Thompson (U. Maine Physics)
- Craig Ogilvie (ISU Physics)

#### Students

- Ngoc-Loan Nguyen (ISU M.S. 2003)
- Warren Christensen (ISU Ph.D. 2007)
- Tom Stroman (ISU physics graduate student)

Funding

- NSF Division of Undergraduate Education
- NSF Division of Physics

# Research on the Learning and Teaching of Thermodynamics

- Investigate student learning of thermodynamics in physics and other fields
- Probe evolution of students' thinking from introductory through advanced-level course
- Develop research-based curricular materials

#### Phase One of Project: Student Learning in Introductory Physics Course

- Probe students enrolled in second-semester calculus-based physics course (mostly engineering students).
  - Written diagnostic questions administered last week of class.
  - Detailed interviews carried out with volunteers.

## Phase Two of Project: Student Learning in Upper-Level Physics Course

- Topics: Approximately equal balance between classical macroscopic thermodynamics, and statistical thermodynamics
- Students enrolled [*N*<sub>initial</sub> = 14 (2003) and 19 (2004)]
  - $\approx$  90% were physics majors or physics/engineering double majors
  - $\approx$  90% were juniors or above

All students had previously studied thermodynamics (some at the advanced level) Performance Comparison: Upper-level vs. Introductory Students

- Diagnostic questions given to students in introductory calculus-based course *after* instruction was complete:
  - 1999-2001: 653 students responded to written questions
  - 2002: 32 self-selected, high-performing students participated in one-on-one interviews
- Written pre-test questions given to Upperlevel students on first day of class

Performance Comparison: Upper-level vs. Introductory Students

- Diagnostic questions given to students in introductory calculus-based course *after* instruction was complete:
  - 1999-2001: 653 students responded to written questions
  - 2002: 32 self-selected, high-performing students participated in one-on-one interviews
- Written pre-test questions given to Upperlevel students on first day of class

#### **Grade Distributions: Interview Sample vs. Full Class**







[In these questions, *W* represents the work done *by* the system during a process; *Q* represents the heat *absorbed* by the system during a process.]

1. Is *W* for Process #1 *greater than, less than,* or *equal to* that for Process #2? Explain.



[In these questions, *W* represents the work done *by* the system during a process; *Q* represents the heat *absorbed* by the system during a process.]

1. Is *W* for Process #1 *greater than, less than,* or *equal to* that for Process #2? Explain.



[In these questions, *W* represents the work done *by* the system during a process; *Q* represents the heat *absorbed* by the system during a process.]

1. Is *W* for Process #1 *greater than, less than,* or *equal to* that for Process #2? Explain.



[In these questions, *W* represents the work done *by* the system during a process; *Q* represents the heat *absorbed* by the system during a process.]

1. Is *W* for Process #1 *greater than, less than,* or *equal to* that for Process #2? Explain.



[In these questions, *W* represents the work done *by* the system during a process; *Q* represents the heat *absorbed* by the system during a process.]

1. Is *W* for Process #1 *greater than, less than,* or *equal to* that for Process #2? Explain.

	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	<b>2002</b> Introductory Physics (Post-test) Interview Sample ( <i>N</i> =32)	2004 Upper- Level (Pretest) ( <i>N</i> =19)
$W_1 > W_2$			
$W_1 = W_2$			
$W_1 < W_2$			



	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	
$W_1 = W_2$	30%	

	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	<b>2002</b> Introductory Physics (Post-test) Interview Sample ( <i>N</i> =32)	
$W_1 = W_2$	30%	22%	

	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	<b>2002</b> Introductory Physics (Post-test) Interview Sample ( <i>N</i> =32)	2003 Upper-level Course (Pretest) ( <i>N</i> =14)
$W_1 = W_2$	30%	22%	20%

	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	<b>2002</b> Introductory Physics (Post-test) Interview Sample ( <i>N</i> =32)	2004 Upper-level Course (Pretest) ( <i>N</i> =19)
$W_1 = W_2$	30%	22%	20%

	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	<b>2002</b> Introductory Physics (Post-test) Interview Sample ( <i>N</i> =32)	2004 Upper-level Course (Pretest) ( <i>N</i> =19)
$W_1 = W_2$	30%	22%	<b>20%</b>

About one-fifth of Upper-level students believe work done is equal in both processes

## Explanations Given by Upper-Level Students to Justify $W_1 = W_2$

- "Equal, path independent."
- "Equal, the work is the same regardless of path taken."

Some students come to associate work with phrases only used in connection with state functions.

**Explanations similar to those offered by introductory students** 



[In these questions, *W* represents the work done *by* the system during a process; *Q* represents the heat *absorbed* by the system during a process.]

1. Is *W* for Process #1 *greater than, less than,* or *equal to* that for Process #2? Explain.



[In these questions, *W* represents the work done *by* the system during a process; *Q* represents the heat *absorbed* by the system during a process.]

1. Is *W* for Process #1 *greater than, less than,* or *equal to* that for Process #2? Explain.



Volume

[In these questions, *W* represents the work done *by* the system during a process; *Q* represents the heat *absorbed* by the system during a process.]

1. Is *W* for Process #1 *greater than, less than,* or *equal to* that for Process #2? Explain.



[In these questions, *W* represents the work done *by* the system during a process; *Q* represents the heat *absorbed* by the system during a process.]

1. Is *W* for Process #1 *greater than, less than,* or *equal to* that for Process #2? Explain.

	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	<b>2002</b> Introductory Physics (Post-test) Interview Sample ( <i>N</i> =32)	2004 Upper-level Course (Pretest) ( <i>N</i> =19)
$Q_1 > Q_2$			
$Q_1 = Q_2$			
$Q_1 < Q_2$			



	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	
$Q_1 = Q_2$	38%	

	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	<b>2002</b> Introductory Physics (Post-test) Interview Sample ( <i>N</i> =32)	
$Q_1 = Q_2$	38%	47%	

	<b>1999-2001</b>	<b>2002</b>	2003-4
	Introductory Physics	Introductory Physics	Upper-level
	(Post-test)	(Post-test)	Course
	Written Sample	Interview Sample	(Pretest)
	( <i>N</i> =653)	( <i>N</i> =32)	( <i>N</i> =33)
$Q_1 = Q_2$	38%	47%	30%

# Explanations Given by Upper-Level Students to Justify $Q_1 = Q_2$

- "Equal. They both start at the same place and end at the same place."
- "The heat transfer is the same because they are starting and ending on the same isotherm."
- Many Upper-level students stated or implied that heat transfer is independent of process, similar to claims made by introductory students.

	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	<b>2002</b> Introductory Physics (Post-test) Interview Sample ( <i>N</i> =32)	<b>2004</b> Upper-level Course (Pretest) ( <i>N</i> =19)
$Q_1 > Q_2$			
$Q_1 = Q_2$			
$Q_1 < Q_2$			



	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	
$Q_1 > Q_2$	45%	

	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	<b>2002</b> Introductory Physics (Post-test) Interview Sample ( <i>N</i> =32)	
$Q_1 > Q_2$	45%	34%	
	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	<b>2002</b> Introductory Physics (Post-test) Interview Sample ( <i>N</i> =32)	2003 Upper-level Course (Pretest) ( <i>N</i> =14)
-------------	---	---	---
$Q_1 > Q_2$	45%	34%	35%

	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	<b>2002</b> Introductory Physics (Post-test) Interview Sample ( <i>N</i> =32)	<b>2003</b> Upper-level Course (Pretest) ( <i>N</i> =14)
$Q_1 > Q_2$	45%	34%	35%
Correct or partially correct explanation	11%	19%	30%

	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	<b>2002</b> Introductory Physics (Post-test) Interview Sample ( <i>N</i> =32)	<b>2004</b> Upper-level Course (Pretest) ( <i>N</i> =19)
$Q_1 > Q_2$	45%	34%	30%
Correct or partially correct explanation	11%	19%	30%

	<b>1999-2001</b> Introductory Physics (Post-test) Written Sample ( <i>N</i> =653)	<b>2002</b> Introductory Physics (Post-test) Interview Sample ( <i>N</i> =32)	<b>2004</b> Upper-level Course (Pretest) ( <i>N</i> =19)
$Q_1 > Q_2$	45%	34%	30%
Correct or partially correct explanation	11%	19%	30%

Performance of upper-level students significantly better than introductory students in *written* sample

A fixed quantity of ideal gas is contained within a metal cylinder that is sealed with a movable, frictionless, insulating piston.

A fixed quantity of ideal gas is contained within a metal cylinder that is sealed with a movable, frictionless, insulating piston.

The cylinder is surrounded by a large container of water with high walls as shown. We are going to describe two separate processes, Process #1 and Process #2.

A fixed quantity of ideal gas is contained within a metal cylinder that is sealed with a movable, frictionless, insulating piston.

The cylinder is surrounded by a large container of water with high walls as shown. We are going to describe two separate processes, Process #1 and Process #2.







Beginning at time *A*, the water container is gradually heated, and the piston *very slowly* moves upward.





At time **B** the heating of the water stops, and the piston stops moving

















While this happens the temperature of the water is nearly unchanged, and the gas temperature remains practically *constant*.



At time **C** we stop adding lead weights to the container and the piston stops moving. The piston is now at exactly the same position it was at time **A**.









Now, the piston is locked into place so it *cannot move*, and the weights are removed from the piston.



The system is left to sit in the room for many hours.



Eventually the entire system cools back down to the same room temperature it had at time **A**.



After cooling is complete, it is time **D**.











#### Question #6: Consider <u>the entire process</u> from time A to time D.

*(i)* Is the net work done *by* the gas on the environment during that process (a) greater than zero, (b) equal to zero, or (c) less than zero?

*(ii)* Is the total heat transfer to the gas during that process (a) greater than zero, (b) equal to zero, or (c) less than zero?



Question #6: Consider <u>the entire process</u> from time A to time D.

*(i)* Is the net work done *by* the gas on the environment during that process (a) greater than zero, (b) equal to zero, or (c) less than zero?

*(ii)* Is the total heat transfer to the gas during that process (a) greater than zero, (b) equal to zero, or (c) less than zero?










*(i)* Is the net work done *by* the gas on the environment during that process (a) greater than zero, (b) equal to zero, or (c) less than zero?



(*i*) Is the net work done *by* the gas on the environment during that process (a) greater than zero, (b) equal to zero, or (c) less than zero?

## Results on Question #6 (i)

Interview sample: 19% Upper-level students: 10%

(b)  $W_{net} = 0$ :

Interview sample: 63% Upper-level students: 45%



*(i)* Is the net work done *by* the gas on the environment during that process (a) greater than zero, (b) equal to zero, or (c) less than zero?



*(i)* Is the net work done *by* the gas on the environment during that process (a) greater than zero, (b) equal to zero, or (c) less than zero?







(*i*) Is the net work done *by* the gas on the environment during that process (a) greater than zero, (b) equal to zero, or (c) less than zero?

## Results on Question #6 (ii)

Interview sample: 16% Upper-level students: 20%

(b) 
$$Q_{net} = 0$$
:

Interview sample: 69% Upper-level students: 80%

## Most students thought that $Q_{net}$ and/or $W_{net}$ must be equal to zero

- 50% of the 2004 Upper-level students initially believed that both the net work done *and* the total heat transferred would be zero.
- Only one out of 16 Upper-level students answered both parts of Question #6 correctly on the pre-test.

## Entropy and Second-Law Questions

- Heat-engine questions
- "Spontaneous-process" question

## Entropy and Second-Law Questions

- Heat-engine questions
- "Spontaneous-process" question

# **Spontaneous Process Question**

[Introductory-Course Version]

For each of the following questions consider a system undergoing a naturally occurring ("spontaneous") process. The system can exchange energy with its surroundings.

- A. During this process, does the entropy of the <u>system</u>  $[S_{system}]$  *increase*, *decrease*, or *remain the same*, or is this *not determinable* with the given information? *Explain your answer*.
- B. During this process, does the entropy of the <u>surroundings</u>  $[S_{surroundings}]$ *increase, decrease, or remain the same, or is this not determinable* with the given information? *Explain your answer.*
- C. During this process, does the entropy of the system *plus* the entropy of the surroundings  $[S_{system} + S_{surroundings}]$  *increase, decrease, or remain the same, or is this not determinable* with the given information? *Explain your answer.*

### Responses to Spontaneous-Process Questions Introductory Students



On each question, fewer than 40% answered correctly

# Introductory Physics Students' Thinking on Spontaneous Processes

- Tendency to assume that "system entropy" must *always* increase
- Slow to accept the idea that entropy of system plus surroundings *increases*
  - Most students give incorrect answers to all three questions



• Consider slow heat transfer process between two thermal reservoirs (insulated metal cubes connected by thin metal pipe)

Does total energy change during process?Does total entropy change during process?

### **Entropy Tutorial**

(draft by W. Christensen and DEM, undergoing class testing)

• Guide students to find that:

$$\Delta S_{total} = \frac{Q}{T_{cold \ reservoir}} - \frac{Q}{T_{hot \ reservoir}} > 0$$

and that definitions of "system" and "surroundings" are arbitrary

Preliminary results are promising...

### Responses to Spontaneous-Process Questions Introductory Students



### Responses to Spontaneous-Process Questions Intermediate Students (*N* = 32, Matched)



# Summary

- Most students completing introductory physics courses retain significant difficulties with fundamental thermodynamic concepts.
- Conceptual difficulties persist for many students beginning upper-level thermal physics course.
- Research-based curricular materials yield promising outcomes in preliminary testing.